

# All Cantor sets are homeomorphic

Jonathan L.F. King  
University of Florida, Gainesville FL 32611-2082, USA  
squash@ufl.edu  
Webpage <http://squash.1gainesville.com/>  
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**Entrance.** A non-void topological space  $X$  is a *generalized Cantor set* if it is:

C1: Totally disconnected.

C2: Compact.

C3: Perfect (each point is a cluster point).

Let  $\Lambda := \{0, 1\}$  with the discrete topology. The (abstract) Cantor set is  $\Lambda^{\times \mathbb{N}}$ . A particular embedding of this set in  $\mathbb{R}$  is as the “middle thirds” set in  $[0, 1]$ .

**1: Theorem.** *If  $X$  is a generalized Cantor set which is metrizable, then it is homeomorphic with  $\Lambda^{\times \mathbb{N}}$ .*  $\diamond$

First we need terminology. Here, a *partition*  $P$  of  $X$  is a finite collection of disjoint non-void clopen sets whose union is  $X$ . Write  $Q \succ P$  to mean that partition  $Q$  *refines*  $P$ ; each atom of  $P$  is a union of  $Q$ -atoms. Suppose there is a integer  $M$  such that  $Q$  partitions each atom  $E \in P$  into exactly  $M$  pieces. One says “ $Q$  is of degree  $M$  over  $P$ ” and writes  $\text{Deg}(Q \mid P) = M$ .

A seq  $\vec{P} = (P_1, P_2, \dots)$  is a “refining sequence” if  $P_1 \prec P_2 \prec \dots$ . For every sequence of atoms  $E_1 \supset E_2 \dots$  with  $E_n \in P_n$ , the intersection  $\bigcap_1^\infty E_n$  is non-empty, by the finite intersection property. If each such intersection is exactly one point, we say that  $\vec{P}$  “*separates* points”. The sequence  $E_1, E_2, \dots$  of atoms is called the *name* of  $x$ , where  $\{x\} = \bigcap_1^\infty E_n$ .

## A criterion for homeomorphism

Given topological spaces  $X$  and  $Y$ , suppose there exists a separating sequence  $\vec{P}$  on  $X$  and another,  $\vec{Q}$ , on  $Y$  such that

$$\forall n : \quad \text{Deg}(P_{n+1} \mid P_n) = \text{Deg}(Q_{n+1} \mid Q_n).$$

Suppose further that the collection of finite intersections of  $\vec{Q}$ -atoms forms a basis for (the topology on)  $Y$ . Then the map  $f: X \rightarrow Y$  sending  $\vec{P}$ -names to corresponding  $\vec{Q}$ -names is continuous. So if  $X$  is compact then  $f$  is a cts bijection from a compact space to a Hausdorff space and is therefore a homeomorphism.

If  $Y$  is the abstract Cantor set  $\Lambda^{\times \mathbb{N}}$ , given positive integers  $\{k_n\}_1^\infty$  there is evidently a separating sequence  $\{Q_n\}_1^\infty$  such that  $\text{Deg}(Q_{n+1} \mid Q_n) = 2^{k_n}$ . So the theorem will follow if we can show:

A generalized Cantor set  $X$  has a separating sequence  $\{P_n\}_1^\infty$  such that each  $\text{Deg}(P_{n+1} \mid P_n)$  exists and is a power of two.

## Using the metric

Let  $\text{Diam}(P)$  denote the maximum of the diameters of the atoms of  $P$ . A refining sequence will certainly separate if  $\text{Diam}(P_n) \rightarrow 0$ . So (2) will follow from

Given a partition  $R$  and  $\varepsilon > 0$ , there exists  
GOAL: a partition  $P \succ R$  with  $\text{Diam}(P) \leq \varepsilon$  and  $\text{Deg}(P \mid R)$  a power of two.

**3: Lemma.**

a: Suppose  $E \subset X$  is non-void and clopen. Then for each posint  $K$ , there exists a  $K$ -set partition of  $E$ .  
b: Given  $\varepsilon$  positive, there exists a partition  $P$  of  $X$  with  $\text{Diam}(P) \leq \varepsilon$ .  $\diamond$

**Proof of (a).** Here we use that  $X$  is perfect: Each non-void open subset is larger than a singleton.

To start the induction, set  $E_1 := E$ . Since  $E_{k-1}$  is non-void and clopen, it owns two distinct points. They can be separated by a clopen set and so there exist non-void clopen sets  $P_{k-1}$  and  $E_k$  such that

$$E_{k-1} = P_{k-1} \sqcup E_k.$$

Then  $\{P_1, P_2, \dots, P_{K-1}, E_K\}$  forms the desired partition.  $\diamond$

**Proof of (b).** Fix an  $x \in X$  and let  $C$  be the complement of the open  $[\varepsilon/2]$ -ball about  $x$ . Each  $y \in C$  is disconnected from  $x$  and so there is a clopen  $E_y$  owning  $y$ , with  $x \in E_y^c$ . Compactness of  $C$  gives a finite collection  $F \subset C$  with  $\bigcup_{y \in F} E_y \supset C$ . Thus

$$D_x := \bigcap_{y \in F} E_y^c$$

is a clopen neighborhood of  $x$  with diameter less-equal  $\varepsilon$ .

By compactness, there exists a finite  $F \subset X$  with  $\bigcup_{z \in F} D_z = X$ ; we now have a finite cover of  $X$  by clopen sets of small diameter. For each  $x \in X$ , let  $P_x$  be the set formed by intersecting, over all  $z \in F$ , those  $D_z$  and those  $D_z^c$  which own  $x$ . Then

$$\mathbf{P} := \{P_x \mid x \in X\}$$

is a partition with  $\varepsilon$  dominating its diameter. ♦

We can now prove the Theorem by establishing (GOAL). For each atom  $E \in \mathbf{R}$ , lemma (3b) yields a partition of  $E$ , call it  $\mathbf{P}_E$ , such that  $\text{Diam}(\mathbf{P}_E) \leq \varepsilon$ . Let  $k$  be a power-of-two which exceeds

$$\text{Max}\{\#(\mathbf{P}_E) \mid E \in \mathbf{R}\}$$

For each  $E$  we can apply (3a) and split one atom of  $\mathbf{P}_E$  into further pieces to arrange that  $\#(\mathbf{P}_E)$  equal  $k$ ; this can only decrease the diameter of a  $\mathbf{P}_E$ .

Finally, let  $\mathbf{P}$  denote the partition of  $X$  formed by the atoms of the  $\{\mathbf{P}_E \mid E \in \mathbf{R}\}$ .